FUNCTIONS/ RESPONSIBILITY MATRIX

THE CHILD

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Case Responsibility	The local authority will retain case management responsibility for the child until the making of the Adoption Order	The RAA will provide specialist advice and support to assist LA staff to fully understand the adoption process, influencing and
Adoption and Children Act 2002	The local authority will be responsible for all statutory functions including but not limited to:	promoting best practice
Children Act 1989	Statutory Visits and Reviews	
Adoption Agencies Regulations 2005 (AAR)	Management and supervision of contact between child and family members.	The RAA will provide the supervision to foster carer's caring for a
	 Administration and finance of foster placements and communication with foster carers who care for children under Fostering for Adoption arrangements. 	child under Fostering for Adoption arrangements.
Early identification of children requiring adoption	The local authority is responsible for identifying, at the earliest possible stage, the children who may require adoption and making them and their needs known to the RAA, providing relevant and timely	The RAA will designate a Family Finder to each child identified as possibly requiring adoption to liaise with children's social workers
Adoption and Children Act 2002 AAR 2005 as amended	information. Birth parents to be referred to the Birth Parent support service at an early stage.	The RAA aims to develop working practises with each LA to ensure involvement in care planning to assist with the earliest identification.
AAR 2005 as amended	Future potential contact arrangements to be considered at an early stage	Making sure siblings placed with other adopters are considered by the LA.
		Staff from the RAA will be involved in identifying children for early permanence placement.
Communication during the family finding process	It will be the responsibility of the LA to keep the Child Permanence Report updated and to inform the family finder of any changes in the child's development or circumstances (e.g. change of placement).	The RAA will keep the LA regularly updated about progress on family finding.
Adoption Agencies Regulations 2005 (AAR)		

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Early Placement	The LA will arrange the Administration and finance of fostering for adoption placements and communication with foster carers who care for children under Fostering for Adoption arrangements as necessary.	Where appropriate, and in agreement with the local authority, the RAA will make available an Early Permanence Placement (EPP) e.g. a Fostering for Adoption Service for children who may benefit from the possibility of early placement with potential adopters.
	The LA Social Worker will understand the statutory responsibilities i.e. visits / reviews to the child whilst the child is in Early Permanence Placement	If there are no RAA Fostering for Adoption placements available, the RAA has a responsibility to search for a placement outside of the RAA.
	The LA will be responsible for financial allowances for Early Permanence Placement carers.	The RAA will support these carers whilst caring for these children in an Early Permanence Placement
Multitracking of children requiring adoption	The local authority will track the progress of children in care proceedings or looked after under section 20 (Children Act 1989) to maintain an up-to-date knowledge of their potential need for an adoption placement	The RAA will also actively track all children for whom initial information indicates that adoption may be a likely plan
Pre-placement Reports	The LA will be responsible for the completion and cost of all reports prior to an adoption placement being made including:	The RAA can advise on and support the completion of the Child Placement Report and early profile of the child. The RAA will
Children Act 1989	Child Placement Reports	provide support and challenge to help ensure that the CPR's are of a consistent high quality across the partnership.
Adoption and children	QA reports	
Act 2002	Sibling Assessments	
	Support Plans	
	LA responsible for completing the profiles of the child once an ADM decision is made	
	The LA will liaise with the RAA before the final Care plan is completed and the Adoption Support plan to agree the plan specifically around birth family and sibling contact and ongoing support for the child/ren and Adopters.	The RAA will provide additional information and advice on the placement needs of the child and the likely need for post adoption support services, including financial support
	Final Care plans are to be completed by the LA but the RAA to be consulted on any support needs / contact arrangements post order.	RAA to endorse Support plans and final care plans specifically around future contact and support needs to the LA on final care plans

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Adoption Agencies Regulations 2005 (AAR)	The local authority will be responsible for assessing the child's needs in respect of a future placement and in ensuring that any required financial support for a future adoptive placement is available prior to the Adoption Order	It will be necessary for the RAA and LA to work closely and collaboratively to ensure that the needs of the child can be met and that training support is sustainable. The training will be provided by the Panel Advisor to child Care Social workers to continuously improve practice.
Medical Information Adoption Agencies Regulations 2005 (AAR)	The Local Authority will be responsible for obtaining all required medical information in respect of children who are being considered for adoption and will meet with prospective adopters to ensure they are fully aware of the child's future medical needs as appropriate. The LA will be responsible for organising for the prospective adopters to have a consultation by phone / face to face prior to Adoption Panel to discuss the Childs current and future needs.	The RAA adoption co-ordinator / social workers will support the Prospective Adopters to attend appointments to fully understand the Childs needs.
Decision that adoption should be the child's plan	The Agency Decision Maker in each LA will be responsible for the 'Should be placed for adoption' decision. The LA will undertake a regular review of this decision and associated plans and keep the family finder in the RAA informed of any changes.	The RAA will support the decision-making process as requested, particularly in the provision of Professional Advice to the LA's ADM. The RAA will provide an adoption panel for relinquished
Adoption Agencies Regulations 2005 (AAR)	LA to refer children with a possible plan of being relinquished to the RAA at the earliest opportunity. The LA with advice from the RAA will refer birth family members to independent birth family support at the earliest opportunity.	children's plans to be heard. Panel Advisor to provide panel minutes to the LA ADM. Independent birth family support is the responsibility of the RAA.
'Hard to Place'/Priority Children	The LA is responsible for identifying at the earliest opportunity where a child is: Aged three or over From a BME heritage Part of a sibling group of two or more Has uncertainty about their development Has a disability or medical condition	RAA to track all children and all possible options explored, documented and shared with the LA. The RAA will either provide a service for Priority children which may involve some or all of the following: Increased publicity – DVD, Photos etc. Profile the child at specific events Press and digital media advertising

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
	Sibling matches for new-born	Attempt to recruit Adopters specifically for the child
	The LA will gather the relevant information, assess and forward to the RAA as soon as possible.	 Provide additional training and preparation for potential Adopters
	LA to contribute to the learning to explore how children can be placed	Advise on or assist with additional preparation work with the child.
	in permanent placements.	RAA to contribute to the learning to explore how children can be placed in permanent placements.
Preparation of the child Adoption and Children Act 2002	The LA will be responsible for preparing the child for an adoptive placement. The LA will be responsible for the preparation of the child's Life story Book/ work. This often needs to start early in the child's looked after	The RAA will provide advice and guidance & potentially training to the LA in the preparation of the child, particularly in respect of the future placement. The RAA will provide advice regarding completing a life story
Adoption Agencies Regulations 2005	career and will contain information to which the LA has immediate access.	work/ later life letter.
	The LA will be responsible for producing the Later life letter	The RAA will provide advice and written guidance
Linking and Matching	The LA, by agreement, will meet the costs of introductions between children and Adopters.	The RAA will take lead responsibility for all aspects of the linking and matching process, but will always involve the LA in the decision-making process.
Adoption Agencies Regulations 2005 (AAR)		The RAA will chair linking/ matching meetings as part of the process.
	The LA is responsible for updating of the Child Permanence Report, Delegation of Parental Responsibility report and the Adoption Placement Report, the section on the Child.	The RAA will be responsible for completing the Adoption Placement Report, apart from the section on the child. The RAA will organise the Matching Panel
		The RAA will chair and organise life appreciation days for children over 3 years old.
MATCHING DECISIONS Adoption Agencies	The Agency Decision Maker in each LA will be responsible for the Matching decision for the child.	The RAA will support the process with information as required, including Panel Minutes and administrative support including letters regarding the decision.
Regulations 2005 (AAR)		retters regarding the decision.

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
PLACEMENT	The Local Authority is responsible for Placing the child/ren with	The RAA will offer support and advice to the LA
	prospective adopters.	The RAA will support the LA when a child is placed.
		The RAA to work closely with the LA pre-order and can offer independent support to birth family.
	The LA will commission the Letterbox function from the RAA.	The RAA will set up the Letterbox pre-adoption order and will be responsible for managing this day to day post order.
Adoption Support Fund	LA to work closely with the RAA to provide the information to complete the ASF application for families/children who require therapeutic support.	RAA to submit the ASF application for families/children who require therapeutic support
The Adoption Support Services Regulations 2005		RAA will provide the administration and contracting support to implement the operation of ASF funding.
The Local Authority Adoption Service (England) Regulations 2003		
Regulation 9A		
ADOPTION ALLOWANCES	Allowances / One off payments will be paid by the LA - e.g. vehicles for larger sibling groups, Adoption	The RAA will complete assessments and will review these every 2 years and forward the assessments to the LA to consider.
The Adoption Support Services Regulations 2005		
The Local Authority Adoption Service (England) Regulations 2003		

SERVICE	AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC
			(acting as host of the RAA)

ADOPTER RECRUITMENT

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
RECRUITMENT OF	The LA will signpost any enquiries from potential adopters to the RAA.	The RAA will signpost fostering enquiries to the LA.
ADOPTERS	The LA will ensure that information relevant to potential adopters is	The RAA will be responsible for recruiting adopters appropriate
Adoption Agencies Regulations 2005	included in its own marketing materials and on its website, clearly signposting potential adopters to the website of the RAA.	to the needs of children waiting in each LA. Marketing information will reflect the fact that the RAA is delivering the service on behalf of partnering LAs. This information will be provided on the website or prospective applicants can email or telephone and speak with a worker for
		further information.
Enquiries		The RAA will provide a centralised enquiry process for the region to meet all statutory requirements. Adopters can enquire via telephone / email / or by completing a Registration of Interest form.
		The RAA will provide written information to potential adopters and will hold information events.
		The RAA may at times signpost potential adopters to other agencies/ return to Fostering in LA's if they are unlikely to be able to meet the needs of the children needing placement.
Stage One	The LAs will provide all information required for statutory checks of potential adopters resident in the LA.	The RAA will undertake all Stage one functions
Stage Two		The RAA will undertake all Stage Two functions
		The RAA will complete the Prospective Adopters Report (PAR)
Approvals		The RAA will manage the Adoption Panel.
		The RAA Agency Decision Maker will be responsible for all approvals

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC
		(acting as host of the RAA)
POST APPROVAL SUPPORT AND TRAINING		The RAA will provide post approval support and training to approved adopters

POST ADOPTION SUPPORT SERVICES

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
ADOPTION SUPPORT ASSESSMENTS/ PLANS & SERVICES Adoption and Children act 2002 Adoption Support Regs		The RAA will undertake assessments of adoption support needs. The RAA's core offer will provide general adoption support services: newsletter, social events for children and young people; social/training events for adoptive parents; advice and signposting for adoptive families; independent support and advice to birth relatives; post box services etc
	Respite / Short term breaks – if this service is assessed by the LA as being required this will be provided by the LA.	The RAA will undertake applications to the Adoption Support Fund for children and commission services agreed by ASF for families/children .
Adoption Support Fund (ASF)	Match Funding – Pre 3 years of adoption order – LA responsible for match funding.	Match funding – post 3 years of adoption order – RAA responsible for Match funding.
	The LA will signpost requests from adoptive families for adoption order support to the RAA. Alongside needs directly related to adoption, a family may have needs identified that meet threshold for LA intervention, such as child in need. The LA will be responsible for completing these assessments and providing any identified services.	The RAA will undertake the assessment of adoption support needs of the child and family and produce an adoption support plan. Where appropriate, the RAA will make a referral to the early help service or multi-agency safeguarding hub. The RAA will offer support to the adoptive family during any section 47 enquiry if the case is open to the RAA.

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
	Where a safeguarding referral is made to the LA the LA will conduct any appropriate section 47 enquiry and will allocate an LA social worker where thresholds are met, but will notify the RAA of any referral involving an adopted child.	RAA will complete any specific work needed e.g. Life Story work or application to ASF for therapeutic support for families/children.
	If adopted child/young person accommodated, LA will be responsible for social work support to child/family.	
Out-of-hours services	All adopters will have access to the LA's Emergency Duty Team out of core hours.	
Indirect/ Letterbox contact		The RAA will provide a Letterbox service between adopted children and their birth families. This RAA can carry out ongoing
Adoption and Children Act 2002		assessments to consider whether contact is in the best interest
AAR 2005		of the child.
Supervised & Sibling Direct Contact	Cost of arrangements agreed in the pre- adoption support plan e.g. travel & accommodation expenses will	Responsibility for arranging / supervising Direct Contact requirements will transfer to the RAA.
Adoption and Children Act 2002	remain with the LA.	
Adoption Support Regs		
ADOPTION ALLOWANCES	Adoption allowances will be paid for by the LA	RAA to undertake appropriate assessment
Adoption support Regs		
ADOPTION SUPPORT FUND		The RAA will be responsible for undertaking all funding
The Adoption Support Services Regulations 2005		applications to the Adoption Support Fund and all contracting arrangements
		Existing contracting resources to transfer to the RAA

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
The Local Authority Adoption Service (England) Regulations 2003		
ACCESS TO CHILDREN'S ADOPTION CASE RECORDS Adoption Agencies Regulations 1983	LA to store historic children's adoption case records. For RAA adoptions the LA will have access to records if the case becomes open to them as a CIN / LAC / Safeguarding.	RAA to store and hold new files Existing record keeping resources to transfer to RAA
Sections 56–65 of the Adoption and Children Act 2002 and the Disclosure of Adoption Information (Post- Commencement Adoptions) Regulations 2005 (AIR)		
BIRTH RECORDS COUNSELLING Adoption Agencies Regulations 2005	Existing budget to transfer to the RAA	RAA will be responsible for delivery of counselling.
INDEPENDENT SUPPORT TO BIRTH PARENTS	Existing budget to transfer to the RAA	RAA will be responsible for delivery of support.
ACCESS TO ADOPTERS RECORDS Adoption Agencies Regulations 2005 The Local Authority Adoption Service (England) Regulations 2003 The Voluntary Adoption Agencies and the Adoption Agencies (Miscellaneous Amendments) Regulations 2003	LA to signpost Adoptees to the RAA where appropriate	RAA to store and hold new files

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Disclosure of Adoption Information (Post- Commencement Adoptions) Regulations 2005 The Adoption Support Agencies (England) and Adoption Agencies (Miscellaneous Amendments) Regulations 2005		
STEP PARENT ADOPTION ASSESSMENT	LA to sign post adopters to the RAA	RAA to be responsible for assessments

SERVICE USER ENGAGEMENT

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Adopters		The RAA / commissioned agency will engage with adopters, individually and in groups, with the aim of improving the services available
Adoptees		The RAA / commissioned agency will engage with adoptees, individually and in groups, with the aim of improving the services available
Birth parents		THE RAA / commissioned service will engage with and birth parents individually and in groups with the aim of improving the services available
Special Guardians The Children Act 1989 as amended	Initial assessment, placement, the support plan and payments and allowances are the responsibility of the LA including contact arrangements and the cost of any supervised contact.	The RAA has no responsibilities in support of Special Guardians

PERFORMANCE MANAGEMENT & INSPECTION -

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Data provision	Each LA will need to provide specified key data to the RAA on performance.	RAA is responsible for production of ALB Adopter return
	Each LA is responsible for the ALB Child return	
Data analysis		The RAA will produce a 3-monthly report to each LA on performance against an agreed set of indicators
OFSTED	OFSTED will inspect through the LA lens: evaluating how the RAA is delivering the local authority's statutory responsibilities. Each LA is still responsible for demonstrating how the arrangements comply with their statutory responsibilities and meet the needs of local children. OFSTED have the right to access records relevant to the performance of a LA, wherever they may be held. It remains the LA's responsibility to demonstrate how the individual needs of children are met when the plan is adoption, even when delivered through an RAA. The director of LA children's services should notify the RAA that an OFSTED inspection has started.	The RAA will make available to OFSTED any records required for the purposes of an inspection.
Freedom of Information applications	The LA / RAA will cooperate within the timescales to enable information to be made available to the applicant	The LA / RAA will cooperate within the timescales to enable information to be made available to the applicant

MISCELLANEOUS SERVICES

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
STATEMENT OF PURPOSE		The RAA will provide take responsibility for updating this for the functions that have been delegated to the RAA.
		The RAA will take responsibility for completing each LA statement of purpose regarding the functions left with the LA, in consultation with the LA.
REGISTERED MANAGER		The RAA will provide a registered manager for their adoption functions.

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
ADOPTION SUPPORT SERVICE ADVISOR		The RAA will undertake the role of Adoption Services Advisor for each LA.
The Adoption Support Services Regulations 2005		
The Local Authority Adoption Service (England) Regulations 2003		
CHILDREN'S GUIDE FOR ADOPTION	Each LA has a responsibility for this	The RAA could provide advice and guidance
ANNUAL SERVICE REPORT		RAA to complete an annual public facing service report
CHILDREN'S GUIDE FOR ADOPTION SUPPORT		The RAA will update the Children's guide for adoption support
STEP PARENTS/RELATIV ES WHO WISH TO ADOPT (NON- AGENCY ADOPTIONS)		RAA to be responsible for providing this service – this service will be commissioned out.
INTER-COUNTRY ADOPTION		RAA will provide this service; it will commission advice and information on inter-country adoption from a specialist agency. Service users will have to meet cost of assessment and approval process in the commissioned agency

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Adoption with a Foreign Element Regulations 2005		
Regulation 19		
ADOPTION PANELS Adoption and Children Act 2002	The three larger LA's to provide a social work representative to sit on panel twice a month and the smaller LA once a month.	The RAA will provide adoption panels across the region and will appoint Independent panel chairs and retain a central list and will provide training and support for panel members. The adoption panel will provide a quality assurance report for the partnership on a 6-monthly basis
Adoption Agencies Regulations 2005		
TRAINING FOR LA staff		The RAA can provide training for social workers regarding the adoption process/ writing of CPR's/APR'S/Support plans and preparing for Adoption Panel
The Local Authority Adoption Service (England) Regulations 2003		
Regulation 12 Employment of staff		
The Voluntary Adoption Agencies and the Adoption Agencies (Miscellaneous		

SERVICE AREA	RETAINED FUNCTIONS/ RESPONSIBILITIES OF THE LOCAL AUTHORITY	ADOPTION FUNCTIONS/ RESPONSIBILITIES TO DCC (acting as host of the RAA)
Amendments) Regulations 2003		
ADOPTEE COUNSELLING		The RAA will signpost applicants to independent services and will not provide an intermediary service.
DISRUPTIONS	LA to contribute to the disruption process	RAA to undertake the disruption process.
AAR 2005		Panel Chairs and other professionals will be invited to contribute to this process.
ALLEGATIONS The Local Authority Adoption Service (England) Regulations 2003 Regulation 9 Arrangements for the protection of children	LA is responsible for initiating this process prior to the Adoption order being granted. The RAA will contribute to this process.	RAA is responsible for initiating this process post the Adoption order being granted. The LA will contribute to this process if necessary.